

2016
UTAH STATE BOARD OF EDUCATION
DECLARATION OF CANDIDACY
of

(print name exactly as it is to be printed on the official ballot – no amendments or modifications after March 17, 2016)

for the office of Utah State Board of Education for the _____ district.

STATE OF UTAH

County of _____

} ss.

I, _____, declare my candidacy for the office of and district of _____. I do solemnly swear that: I will meet the qualifications to hold the office, both legally and constitutionally, if selected; I reside at _____ in the City or Town of _____, Utah, Zip Code _____, Phone No. _____; I will not knowingly violate any law governing campaigns and elections; I will file all campaign financial disclosure reports as required by law; and I understand that failure to do so may result in my disqualification as a candidate for this office and removal of my name from the ballot. The mailing address that I designate for receiving official election notices is:

_____.

Email Address

Twitter Handle (optional)

Website (optional)

Signature of Candidate (must be signed in the presence of the filing officer)

Notary Public (or other officer qualified to administer oath)

Subscribed and sworn before me this _____
(month/day/year)

QUALIFICATIONS FOR CANDIDATE FILING DECLARATION

Please initial:

_____ The filing officer read the constitutional and statutory qualifications as listed below to me, and I meet those qualifications.

_____ I agree to file all campaign financial disclosure reports, including the report due on May 16, 2016, and I understand that failure to do so may result in my disqualification as a candidate for this office, possible fines and/or criminal penalties.

_____ I received a copy of the pledge of fair campaign practices, and I understand that signing this pledge is voluntary.

_____ I provided a valid email, or physical address if no email is available, and I understand this will be used for official communications and updates from election officials.

_____ I understand I will receive all financial disclosure notices by email.

_____ I prefer to also receive financial disclosure notices by mail at the following address:

_____ I understand my name will appear on the ballot as it is printed on this declaration of candidacy, and that I may not make any amendments or modifications after 5:00 p.m. on March 17, 2016.

_____ I received a copy of Section 20A-7-801 regarding the Statewide Electronic Voter Information Website Program and its applicable deadline.

Signature of Candidate

Date

Signature of Filing Officer

Date

QUALIFICATIONS

Before the filing officer accepts any declaration of candidacy, the filing officer shall read to the candidate the constitutional and statutory requirements for candidacy, and the candidate shall state whether he/she fulfills the requirements. If the candidate indicates that he/she does not qualify, the filing officer may not accept his/her declaration of candidacy (Utah Code Section 20A-9-201, 202).

UTAH STATE BOARD OF EDUCATION

Utah Code 20A-9-201, 20A-14-103, 20A-2-101.5

- U.S. Citizen
- Resident of the state school board district in which the member is seeking election for at least one year
- Be (and maintain) a registered voter in the board district from which the member is elected or appointed
- Maintain primary residence within the board district from which the member is elected or appointed
- Non-partisan
- Never convicted of a felony**
- Never convicted of a grievous sexual offense against a child, as defined in Utah Code 76-1-601
- Pay filing fee of \$65.00

**A person convicted of a felony loses the right to hold office until (1) all felony convictions have been expunged, OR (2) ten years have passed since the most recent felony conviction AND the person has paid all court-ordered restitution and fines AND the person has completed probation, been granted parole, or completed the term of incarceration associated with the felony.

2016 SCHOOL BOARD CANDIDATE FINANCIAL DISCLOSURE OR CONFLICT OF INTEREST

for

Print name

for the office and district of _____

1A	Name(s) and address(es) of each current employer(s) and each of the filer's employers during the preceding year
1B	Brief description of the employment, including the filer's occupation and, as applicable, job title

2A	Name of any entity in which the filer is an owner or officer, or was an owner or officer during the preceding year
2B	Brief description of the type of business or activity conducted by the entity in section 2A of this form
2C	Filer's position in the entity described in section 2A of this form

3A	Name of each individual from whom, or entity from which, the filer has received \$5,000 or more in income during the preceding year*
3B	Brief description of the type of business or activity conducted by the individual or entity described in section 3A of this form

*Section 3A: In making the disclosure described in section 3A of this form, a filer who provides goods or services to multiple customers or clients as part of a business or a licensed profession is only required to provide the information described in section 3 of this form in relation to the entity or practice through which the filer provides the goods or services and is not required to provide the information described in section 3 of this form in relation to the filer's individual customers or clients.

4A	Name of each entity** in which the filer holds any stocks or bonds having a fair market value of \$5,000 or more as of the date of the disclosure form or during the preceding year (excluding funds that are managed by a third party, including blind trusts, managed investment accounts, and mutual funds)
4B	Brief description of the type of business or activity conducted by the entity described in section 4A of this form

5A	Name of entity or organization, not listed in sections 2 – 4 of this form, in which the filer currently serves, or served in the preceding year, on the board of directors or in any other type of paid leadership capacity
5B	Brief description of the type of business or activity conducted by the entity or organization described in section 5A of this form
5C	Type of advisory position held by the filer within the entity or organization described in section 5A of this form

6A	(Optional) Description of any real property in which the filer holds an ownership or other financial interest that the filer believes may constitute a conflict of interest
6B	(Optional) Description of the type of interest held by the filer in the property described in section 6B of this form

**“Entity” means a corporation, a partnership, a limited liability company, a limited partnership, a sole proprietorship, an association, a cooperative, a trust, an organization, a joint venture, a governmental entity, an unincorporated organization, or any other legal entity, whether established primarily for the purpose of gain or economic profit or not.

7A	Name of the filer's spouse and any other adult residing in the filer's household who is not related by blood or marriage, as applicable
7B	For the filer's spouse, the name and address of each current employer and each employer during the preceding year
7C	Brief description of the employment and occupation of each adult who resides in the filer's household and is not related to the filer by blood or marriage

8A	(Optional) Description of any other matter or interest that the filer believes may constitute a conflict of interest
----	--

I believe this form is true and accurate to the best of my knowledge.

Signature of Filer

Date

(This page intentionally left blank.)



PLEDGE OF FAIR CAMPAIGN PRACTICES

(Utah Code §20A-9-206)

There are basic principles of decency, honesty, and fair play which every candidate for public office in the State of Utah has a moral obligation to observe and uphold, in order that, after vigorously contested but fairly conducted campaigns, our citizens may exercise their right to a free election, and that the will of the people may be fully and clearly expressed on the issues.

THEREFORE:

I SHALL conduct my campaign openly and publicly, discussing the issues as I see them, presenting my record and policies with sincerity and frankness, and criticizing, without fear or favor, the record and policies of my opponents that I believe merit criticism.

I SHALL NOT use, nor shall I permit the use of, scurrilous attacks on any candidate or the candidate's immediate family. I shall not participate in or nor shall I permit the use of defamation, libel, or slander against any candidate or the candidate's immediate family. I shall not participate in nor shall I permit the use of any other criticism of any candidate or the candidate's immediate family that I do not believe to be truthful, provable, and relevant to my campaign.

I SHALL NOT use, nor shall I permit the use of, any practice that tends to corrupt or undermine our American system of free elections, or that hinders or prevents the free expression of the will of the voters, including practices intended to hinder or prevent any eligible person from registering to vote or voting.

I SHALL NOT coerce election help or campaign contributions for myself or for any other candidate from my employees or volunteers.

I SHALL immediately and publicly repudiate support deriving from any individual or group which resorts, on behalf of my candidacy or in opposition to that of an opponent, to methods in violation of the letter or spirit of this pledge. I shall accept responsibility to take firm action against any subordinate who violates any provision of this pledge or the laws governing elections.

I SHALL defend and uphold the right of every qualified American voter to full and equal participation in the electoral process.

I, the undersigned, candidate for election to public office in the State of Utah, hereby voluntarily endorse, subscribe to, and solemnly pledge myself to conduct my campaign in accordance with the above principles and practices.

Name: _____ Office: _____

Signature: _____ Date: _____

***This is a voluntary pledge. Candidates are not required to sign this pledge of fair campaign practices.**

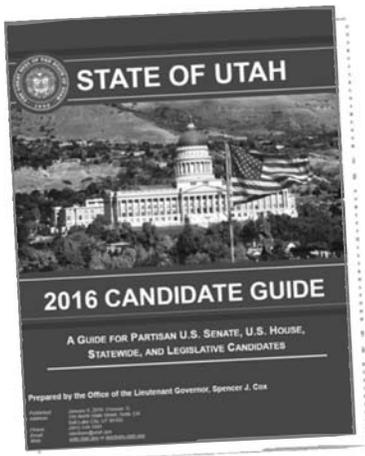
***This document is considered a public record and will be retained for public inspection until 30 days following the election.**



2016 Office of the Lieutenant Governor Candidate Information Pamphlet: State School Board Candidates

Candidate Profile.....	3-4
How to Submit Your Candidate Profile	3
Candidate Profile Statutes	4
20A-7-801 Statewide Electronic Voter Information Website Program – Duties of lieutenant governor – Content – Duties of local election officials – Deadlines – Frequently asked voter questions – Other elections.....	4
Campaign and Financial Disclosures	5-6
How to File Campaign and Financial Disclosures Reports.....	5
Financial Disclosure Deadlines	6
Campaign Finance Statutes: All Candidates	7-13
20A-11-101 Definitions	7-11
20A-11-101.3 Detailed listing – Rulemaking authority	11
20A-11-101.5 Disclosure of actual source or recipient required	11
20A-11-103 Notice of pending interim and summary reports – Form of submission – Public availability – Notice of local filings	11-12
20A-11-104 Personal use expenditure – Authorized and prohibited uses of campaign funds – Enforcement – Penalties	12-13
20A-11-105 Deadline for payment of fine.....	13
20A-11-1005 Fines for failing to file a financial statement	13
Campaign Finance Statutes: State School Board Candidates	14-17
20A-11-1301 School board office candidate -- Campaign finance requirements -- Candidate as a political action committee officer -- No personal use -- Contribution reporting deadline -- Report other accounts -- Anonymous contributions	14-15
20A-11-1302 School board office candidate -- Financial reporting requirements -- Year-end summary report. report. 15	
20A-11-1303 School board office candidate and school board officeholder -- Financial reporting requirements -- Interim reports.....	15-16
20A-11-1304 School board office candidate -- Financial reporting requirements -- Termination of duty to report	16
20A-11-1305 School board office candidate -- Failure to file statement -- Penalties.....	16-17

Office of the Lieutenant Governor
Utah State Capitol, Suite 220
Salt Lake City, UT 84114
Phone: 801-538-1041
Emails: disclosure@utah.gov or elections@utah.gov



Introducing the 2016 **CANDIDATE GUIDE**



A guide for partisan U.S. Senate, U.S. House,
Statewide, and Legislative Candidates

- ★ Gathering signatures & going through convention
- ★ Filing and reporting your campaign's finances
- ★ Submitting your online candidate profile
- ★ Following campaign laws and regulations
- ★ Important election dates

FIND THE GUIDE ONLINE

ELECTIONS.UTAH.GOV

(Click “Election Resources” and then “Candidate Guides & Filing Information”)

OR

[HTTP://GOO.GL/MJCL8H](http://GOO.GL/MJCL8H)

How to: SUBMIT YOUR CANDIDATE PROFILE



The Lieutenant Governor's office provides you with the opportunity to submit a short biography or statement that will be publicly available to voters on VOTE.UTAH.GOV

HOW DO I SUBMIT MY PROFILE?

1. Go to VOTE.UTAH.GOV.
2. Select "ENTER CANDIDATE PROFILE" under the heading "CANDIDATES & PARTIES."
3. Enter the appropriate passcode below:

	PASSCODE
Primary Election	VOTEJUNE2016
General Election	VOTENOVEMBER2016



4. Select your name, enter your information, upload a small photograph, and type your 200 word statement.
5. Click "SUBMIT FOR APPROVAL."
6. You will receive an email that contains a link to edit your profile. This link is the only way to edit your profile.

WHEN DO I SUBMIT MY PROFILE?

Your profile must be submitted to the Lieutenant Governor's office by the following dates and times. Because these deadlines are established by law, late submissions cannot be accepted.

	DEADLINE	CANDIDATES
Primary Election	May 16, 2016 (5:00 p.m.)	All primary election candidates
General Election	September 9, 2016 (5:00 p.m.)	All general election candidates (except write-ins)

**The Lieutenant Governor's office shall review information submitted for inclusion on the statewide electronic voter information website for compliance with the law. The Lieutenant Governor's office may refuse to include information that is not in keeping with Utah voter needs, public decency, or the purposes, organization or uniformity of the website.

Candidate Profile Statutes

- (1) There is established the Statewide Electronic Voter Information Website Program administered by the lieutenant governor in cooperation with the county clerks for general elections and municipal authorities for municipal elections.
- (2) In accordance with this section, and as resources become available, the lieutenant governor, in cooperation with county clerks, shall develop, establish, and maintain a state-provided Internet website designed to help inform the voters of the state of:
- (a) the offices and candidates up for election; and
 - (b) the content, effect, operation, fiscal impact, and supporting and opposing arguments of ballot propositions submitted to the voters.
- (3) Except as provided under Subsection (6), the website shall include:
- (a) all information currently provided in the Utah voter information pamphlet under [Title 20A, Chapter 7, Part 7, Voter Information Pamphlet](#), including a section prepared, analyzed, and submitted by the Judicial Council describing the judicial selection and retention process;
 - (b) all information submitted by election officers under Subsection (4) on local office races, local office candidates, and local ballot propositions;
 - (c) a list that contains the name of a political subdivision that operates an election day voting center under Section [20A-3-703](#) and the location of the election day voting center;
 - (d) other information determined appropriate by the lieutenant governor that is currently being provided by law, rule, or ordinance in relation to candidates and ballot questions; and
 - (e) any differences in voting method, time, or location designated by the lieutenant governor under Subsection [20A-1-308\(2\)](#).
- (4) (a) An election official shall submit the following information for each ballot label under the election official's direct responsibility under this title:
- (i) a list of all candidates for each office;
 - (ii) if submitted by the candidate to the election official's office at 5 p.m. at least 45 days before the primary election and 60 days before the general election:
 - (A) a statement of qualifications, not exceeding 200 words in length, for each candidate;
 - (B) the following current biographical information if desired by the candidate, current:
 - (I) age;
 - (II) occupation;
 - (III) city of residence;
 - (IV) years of residence in current city; and
 - (V) email address; and
 - (C) a single web address where voters may access more information about the candidate and the candidate's views; and
 - (iii) factual information pertaining to all ballot propositions submitted to the voters, including:
 - (A) a copy of the number and ballot title of each ballot proposition;
 - (B) the final vote cast for each ballot proposition, if any, by a legislative body if the vote was required to place the ballot proposition on the ballot;
 - (C) a complete copy of the text of each ballot proposition, with all new language underlined and all deleted language placed within brackets; and
 - (D) other factual information determined helpful by the election official.
- (b) The information under Subsection (4)(a) shall be submitted to the lieutenant governor no later than one business day after the deadline under Subsection (4)(a) for each general election year and each municipal election year.
- (c) The lieutenant governor shall:
- (i) review the information submitted under this section, to determine compliance under this section, prior to placing it on the website;
 - (ii) refuse to post information submitted under this section on the website if it is not in compliance with the provisions of this section; and
 - (iii) organize, format, and arrange the information submitted under this section for the website.
- (d) The lieutenant governor may refuse to include information the lieutenant governor determines is not in keeping with:
- (i) Utah voter needs;
 - (ii) public decency; or
 - (iii) the purposes, organization, or uniformity of the website.
- (e) A refusal under Subsection (4)(d) is subject to appeal in accordance with Subsection (5).
- (5) (a) A person whose information is refused under Subsection (4), and who is aggrieved by the determination, may appeal by submitting a written notice of appeal to the lieutenant governor within 10 business days after the date of the determination. A notice of appeal submitted under this Subsection (5)(a) shall contain:
- (i) a listing of each objection to the lieutenant governor's determination; and
 - (ii) the basis for each objection.
- (b) The lieutenant governor shall review the notice of appeal and shall issue a written response within 10 business days after the notice of appeal is submitted.
- (c) An appeal of the response of the lieutenant governor shall be made to the district court, which shall review the matter de novo.
- (6) (a) The lieutenant governor shall ensure that each voter will be able to conveniently enter the voter's address information on the website to retrieve information on which offices, candidates, and ballot propositions will be on the voter's ballot at the next general election or municipal election.
- (b) The information on the website will anticipate and answer frequent voter questions including the following:
- (i) what offices are up in the current year for which the voter may cast a vote;
 - (ii) who is running for what office and who is the incumbent, if any;
 - (iii) what address each candidate may be reached at and how the candidate may be contacted;
 - (iv) for partisan races only, what, if any, is each candidate's party affiliation;
 - (v) what qualifications have been submitted by each candidate;
 - (vi) where additional information on each candidate may be obtained;
 - (vii) what ballot propositions will be on the ballot; and
 - (viii) what judges are up for retention election.
- (7) As resources are made available and in cooperation with the county clerks, the lieutenant governor may expand the electronic voter information website program to include the same information as provided under this section for special elections and primary elections.

How to File Campaign and Financial Disclosures Reports

All financial disclosures must be filed online at disclosures.utah.gov. Failure to file a financial disclosure report by 5:00 p.m. on the due date may result in disqualification, possible fines, and/or criminal penalties. Follow these steps to report and file:

1. Create User Profile

- a. Visit www.disclosures.utah.gov.
- b. Create a "New User" by clicking the tab on the left side of the page. Each time you return to the disclosures website, you will log in using the username and password you create in this step.
>>Skip this step if you already have a username.



2. Create Entity

- a. Call the Lieutenant Governor's Office (801-538-1041) to create a candidate entity.
- b. Once you have an entity, you will report all contributions and expenditures on your "Financial Ledger" located in "My Folder."

3. Report Contributions & Expenditures

- a. **Contributions:** clicking "Add" next to Contributions (+) in your ledger will bring up this window:

- i. Contributions must be reported:
 1. Within 30 days after the contribution is received; or
 2. Within 3 days after the contribution is received during the 30 days prior to convention, the primary election, or the general election if the candidate is contested.

- b. **Expenditures:** clicking "Add" next to Expenditures (-) in your ledger will bring up this window:

- i. Expenditures may be added to the ledger at any point prior to the report due date.

When you enter a contribution or expenditure, the ledger will *automatically update*. You only need to click "File Report" once you have entered all contributions and expenditures for a reporting period.

4. File Reports

- a. Your report is considered timely filed if it is submitted before 5:00 p.m. on the due date.
- b. To file your report, click "File Report" on the right side of your ledger. Any changes will show as amendments once you click "File Report" in your financial ledger.
- c. If you click "File Report" before the report is complete, please call the Lieutenant Governor's Office.

#	Date	Name	Purpose/Address	Contributions (+) Add	Expenditures (-) Add	Balance	I	L	A	P	Show Filters
Manage		Beginning Balance for 2016				(\$0.00)					
Show Details		Convention		(\$0.00)	(\$0.00)	(\$0.00)					
Show Details		Primary		(\$0.00)	(\$0.00)	(\$0.00)					File Report
Show Details		September 30		(\$0.00)	(\$0.00)	(\$0.00)					File Report
Show Details		General		(\$0.00)	(\$0.00)	(\$0.00)					File Report
Show Details		Year End		(\$0.00)	(\$0.00)	(\$0.00)					File Report
Year-end Totals:				(\$0.00)	(\$0.00)	(\$0.00)					

2016 Financial Disclosure Deadlines

State School Board Candidates/Officeholders

Type of Report	Report Due Date	Reporting Period	Penalty for Late or No Report
Received Contributions	Report* all contributions -Within 30 days of receipt OR -Within 3 days after the contribution is received during the 30 days prior to the primary or general election if the candidate is contested	Must report* <i>all</i> received contributions by either the 30-day or 3-day deadline.	10% - 20% of the amount of each late contribution.
May 15	May 16, 2016	1/1/16 – 5/11/16	\$100 fine
Primary	June 21, 2016	5/12/16 – 6/16/16	\$100 fine and disqualification from election
September 30	September 30, 2016	6/17/16 – 9/25/16	\$100 fine and disqualification from election
General	November 1, 2016	9/26/16 – 10/27/16	\$100 fine and disqualification from election
Year End	January 10, 2017	10/28/16 – 12/31/16	\$100

Campaign finance deadlines are subject to change by the Legislature.

*Contributions are considered reported as soon as they are entered into the ledger. You only need to click “File Report” once you have entered all contributions and expenditures for a reporting period.

Campaign Finance Statutes: All Candidates

20A-11-101. Definitions

As used in this chapter:

- (1) "Address" means the number and street where an individual resides or where a reporting entity has its principal office.
- (2) "Agent of a reporting entity" means:
 - (a) a person acting on behalf of a reporting entity at the direction of the reporting entity;
 - (b) a person employed by a reporting entity in the reporting entity's capacity as a reporting entity;
 - (c) the personal campaign committee of a candidate or officeholder;
 - (d) a member of the personal campaign committee of a candidate or officeholder in the member's capacity as a member of the personal campaign committee of the candidate or officeholder; or
 - (e) a political consultant of a reporting entity.
- (3) "Ballot proposition" includes initiatives, referenda, proposed constitutional amendments, and any other ballot propositions submitted to the voters that are authorized by the Utah Code Annotated 1953.
- (4) "Candidate" means any person who:
 - (a) files a declaration of candidacy for a public office; or
 - (b) receives contributions, makes expenditures, or gives consent for any other person to receive contributions or make expenditures to bring about the person's nomination or election to a public office.
- (5) "Chief election officer" means:
 - (a) the lieutenant governor for state office candidates, legislative office candidates, officeholders, political parties, political action committees, corporations, political issues committees, state school board candidates, judges, and labor organizations, as defined in Section [20A-11-1501](#); and
 - (b) the county clerk for local school board candidates.
- (6) (a) "Contribution" means any of the following when done for political purposes:
 - (i) a gift, subscription, donation, loan, advance, or deposit of money or anything of value given to the filing entity;
 - (ii) an express, legally enforceable contract, promise, or agreement to make a gift, subscription, donation, unpaid or partially unpaid loan, advance, or deposit of money or anything of value to the filing entity;
 - (iii) any transfer of funds from another reporting entity to the filing entity;
 - (iv) compensation paid by any person or reporting entity other than the filing entity for personal services provided without charge to the filing entity;
 - (v) remuneration from:
 - (A) any organization or its directly affiliated organization that has a registered lobbyist; or
 - (B) any agency or subdivision of the state, including school districts;
 - (vi) a loan made by a candidate deposited to the candidate's own campaign; and
 - (vii) in-kind contributions.

(b) "Contribution" does not include:

 - (i) services provided by individuals volunteering a portion or all of their time on behalf of the filing entity if the services are provided without compensation by the filing entity or any other person;
 - (ii) money lent to the filing entity by a financial institution in the ordinary course of business; or
 - (iii) goods or services provided for the benefit of a candidate or political party at less than fair market value that are not authorized by or coordinated with the candidate or political party.
- (7) "Coordinated with" means that goods or services provided for the benefit of a candidate or political party are provided:
 - (a) with the candidate's or political party's prior knowledge, if the candidate or political party does not object;
 - (b) by agreement with the candidate or political party;
 - (c) in coordination with the candidate or political party; or
 - (d) using official logos, slogans, and similar elements belonging to a candidate or political party.
- (8) (a) "Corporation" means a domestic or foreign, profit or nonprofit, business organization that is registered as a corporation or is authorized to do business in a state and makes any expenditure from corporate funds for:
 - (i) the purpose of expressly advocating for political purposes; or
 - (ii) the purpose of expressly advocating the approval or the defeat of any ballot proposition.

(b) "Corporation" does not mean:

 - (i) a business organization's political action committee or political issues committee; or
 - (ii) a business entity organized as a partnership or a sole proprietorship.
- (9) "County political party" means, for each registered political party, all of the persons within a single county who, under definitions established by the political party, are members of the registered political party.
- (10) "County political party officer" means a person whose name is required to be submitted by a county political party to the lieutenant governor in accordance with Section [20A-8-402](#).
- (11) "Detailed listing" means:
 - (a) for each contribution or public service assistance:
 - (i) the name and address of the individual or source making the contribution or public service assistance, except to the extent that the name or address of the individual or source is unknown;
 - (ii) the amount or value of the contribution or public service assistance; and

- (iii) the date the contribution or public service assistance was made; and
- (b) for each expenditure:
 - (i) the amount of the expenditure;
 - (ii) the person or entity to whom it was disbursed;
 - (iii) the specific purpose, item, or service acquired by the expenditure; and
 - (iv) the date the expenditure was made.
- (12) (a) "Donor" means a person that gives money, including a fee, due, or assessment for membership in the corporation, to a corporation without receiving full and adequate consideration for the money.
- (b) "Donor" does not include a person that signs a statement that the corporation may not use the money for an expenditure or political issues expenditure.
- (13) "Election" means each:
 - (a) regular general election;
 - (b) regular primary election; and
 - (c) special election at which candidates are eliminated and selected.
- (14) "Electioneering communication" means a communication that:
 - (a) has at least a value of \$10,000;
 - (b) clearly identifies a candidate or judge; and
 - (c) is disseminated through the Internet, newspaper, magazine, outdoor advertising facility, direct mailing, broadcast, cable, or satellite provider within 45 days of the clearly identified candidate's or judge's election date.
- (15) (a) "Expenditure" means any of the following made by a reporting entity or an agent of a reporting entity on behalf of the reporting entity:
 - (i) any disbursement from contributions, receipts, or from the separate bank account required by this chapter;
 - (ii) a purchase, payment, donation, distribution, loan, advance, deposit, gift of money, or anything of value made for political purposes;
 - (iii) an express, legally enforceable contract, promise, or agreement to make any purchase, payment, donation, distribution, loan, advance, deposit, gift of money, or anything of value for political purposes;
 - (iv) compensation paid by a filing entity for personal services rendered by a person without charge to a reporting entity;
 - (v) a transfer of funds between the filing entity and a candidate's personal campaign committee; or
 - (vi) goods or services provided by the filing entity to or for the benefit of another reporting entity for political purposes at less than fair market value.
- (b) "Expenditure" does not include:
 - (i) services provided without compensation by individuals volunteering a portion or all of their time on behalf of a reporting entity;
 - (ii) money lent to a reporting entity by a financial institution in the ordinary course of business; or
 - (iii) anything listed in Subsection [\(15\)\(a\)](#) that is given by a reporting entity to candidates for office or officeholders in states other than Utah.
- (16) "Federal office" means the office of president of the United States, United States Senator, or United States Representative.
- (17) "Filing entity" means the reporting entity that is required to file a financial statement required by this chapter or [Chapter 12, Part 2, Judicial Retention Elections](#).
- (18) "Financial statement" includes any summary report, interim report, verified financial statement, or other statement disclosing contributions, expenditures, receipts, donations, or disbursements that is required by this chapter or [Chapter 12, Part 2, Judicial Retention Elections](#).
- (19) "Governing board" means the individual or group of individuals that determine the candidates and committees that will receive expenditures from a political action committee, political party, or corporation.
- (20) "Incorporation" means the process established by [Title 10, Chapter 2a, Municipal Incorporation](#), by which a geographical area becomes legally recognized as a city, town, or metro township.
- (21) "Incorporation election" means the election authorized by Section [10-2a-210](#), [10-2a-304](#), or [10-2a-404](#).
- (22) "Incorporation petition" means a petition authorized by Section [10-2a-208](#) or [10-2a-302](#).
- (23) "Individual" means a natural person.
- (24) "In-kind contribution" means anything of value, other than money, that is accepted by or coordinated with a filing entity.
- (25) "Interim report" means a report identifying the contributions received and expenditures made since the last report.
- (26) "Legislative office" means the office of state senator, state representative, speaker of the House of Representatives, president of the Senate, and the leader, whip, and assistant whip of any party caucus in either house of the Legislature.
- (27) "Legislative office candidate" means a person who:
 - (a) files a declaration of candidacy for the office of state senator or state representative;
 - (b) declares oneself to be a candidate for, or actively campaigns for, the position of speaker of the House of Representatives, president of the Senate, or the leader, whip, and assistant whip of any party caucus in either house of the Legislature; or
 - (c) receives contributions, makes expenditures, or gives consent for any other person to receive contributions or make expenditures to bring about the person's nomination, election, or appointment to a legislative office.

(28) "Major political party" means either of the two registered political parties that have the greatest number of members elected to the two houses of the Legislature.

(29) "Officeholder" means a person who holds a public office.

(30) "Party committee" means any committee organized by or authorized by the governing board of a registered political party.

(31) "Person" means both natural and legal persons, including individuals, business organizations, personal campaign committees, party committees, political action committees, political issues committees, and labor organizations, as defined in Section [20A-11-1501](#).

(32) "Personal campaign committee" means the committee appointed by a candidate to act for the candidate as provided in this chapter.

(33) "Personal use expenditure" has the same meaning as provided under Section [20A-11-104](#).

(34) (a) "Political action committee" means an entity, or any group of individuals or entities within or outside this state, a major purpose of which is to:

(i) solicit or receive contributions from any other person, group, or entity for political purposes; or

(ii) make expenditures to expressly advocate for any person to refrain from voting or to vote for or against any candidate or person seeking election to a municipal or county office.

(b) "Political action committee" includes groups affiliated with a registered political party but not authorized or organized by the governing board of the registered political party that receive contributions or makes expenditures for political purposes.

(c) "Political action committee" does not mean:

(i) a party committee;

(ii) any entity that provides goods or services to a candidate or committee in the regular course of its business at the same price that would be provided to the general public;

(iii) an individual;

(iv) individuals who are related and who make contributions from a joint checking account;

(v) a corporation, except a corporation a major purpose of which is to act as a political action committee; or

(vi) a personal campaign committee.

(35) (a) "Political consultant" means a person who is paid by a reporting entity, or paid by another person on behalf of and with the knowledge of the reporting entity, to provide political advice to the reporting entity.

(b) "Political consultant" includes a circumstance described in Subsection [\(35\)\(a\)](#), where the person:

(i) has already been paid, with money or other consideration;

(ii) expects to be paid in the future, with money or other consideration; or

(iii) understands that the person may, in the discretion of the reporting entity or another person on behalf of and with the knowledge of the reporting entity, be paid in the future, with money or other consideration.

(36) "Political convention" means a county or state political convention held by a registered political party to select candidates.

(37) (a) "Political issues committee" means an entity, or any group of individuals or entities within or outside this state, a major purpose of which is to:

(i) solicit or receive donations from any other person, group, or entity to assist in placing a ballot proposition on the ballot, assist in keeping a ballot proposition off the ballot, or to advocate that a voter refrain from voting or vote for or vote against any ballot proposition;

(ii) make expenditures to expressly advocate for any person to sign or refuse to sign a ballot proposition or incorporation petition or refrain from voting, vote for, or vote against any proposed ballot proposition or an incorporation in an incorporation election; or

(iii) make expenditures to assist in qualifying or placing a ballot proposition on the ballot or to assist in keeping a ballot proposition off the ballot.

(b) "Political issues committee" does not mean:

(i) a registered political party or a party committee;

(ii) any entity that provides goods or services to an individual or committee in the regular course of its business at the same price that would be provided to the general public;

(iii) an individual;

(iv) individuals who are related and who make contributions from a joint checking account;

(v) a corporation, except a corporation a major purpose of which is to act as a political issues committee; or

(vi) a group of individuals who:

(A) associate together for the purpose of challenging a single ballot proposition, ordinance, or other governmental action by a county, city, town, local district, special service district, or other local political subdivision of the state;

(B) have a common liberty, property, or financial interest that is directly impacted by the ballot proposition, ordinance, or other governmental action;

(C) do not associate together, for the purpose described in Subsection [\(37\)\(b\)\(vi\)\(A\)](#), via a legal entity;

(D) do not receive funds for challenging the ballot proposition, ordinance, or other governmental action from a person other than an individual in the group; and

(E) do not expend a total of more than \$5,000 for the purpose described in Subsection [\(37\)\(b\)\(vi\)\(A\)](#).

(38) (a) "Political issues contribution" means any of the following:

- (i) a gift, subscription, unpaid or partially unpaid loan, advance, or deposit of money or anything of value given to a political issues committee;
- (ii) an express, legally enforceable contract, promise, or agreement to make a political issues donation to influence the approval or defeat of any ballot proposition;
- (iii) any transfer of funds received by a political issues committee from a reporting entity;
- (iv) compensation paid by another reporting entity for personal services rendered without charge to a political issues committee; and
- (v) goods or services provided to or for the benefit of a political issues committee at less than fair market value.

(b) "Political issues contribution" does not include:

- (i) services provided without compensation by individuals volunteering a portion or all of their time on behalf of a political issues committee; or
- (ii) money lent to a political issues committee by a financial institution in the ordinary course of business.

(39) (a) "Political issues expenditure" means any of the following when made by a political issues committee or on behalf of a political issues committee by an agent of the reporting entity:

(i) any payment from political issues contributions made for the purpose of influencing the approval or the defeat of:

(A) a ballot proposition; or

(B) an incorporation petition or incorporation election;

(ii) a purchase, payment, distribution, loan, advance, deposit, or gift of money made for the express purpose of influencing the approval or the defeat of:

(A) a ballot proposition; or

(B) an incorporation petition or incorporation election;

(iii) an express, legally enforceable contract, promise, or agreement to make any political issues expenditure;

(iv) compensation paid by a reporting entity for personal services rendered by a person without charge to a political issues committee; or

(v) goods or services provided to or for the benefit of another reporting entity at less than fair market value.

(b) "Political issues expenditure" does not include:

(i) services provided without compensation by individuals volunteering a portion or all of their time on behalf of a political issues committee; or

(ii) money lent to a political issues committee by a financial institution in the ordinary course of business.

(40) "Political purposes" means an act done with the intent or in a way to influence or tend to influence, directly or indirectly, any person to refrain from voting or to vote for or against any:

(a) candidate or a person seeking a municipal or county office at any caucus, political convention, or election; or

(b) judge standing for retention at any election.

(41) (a) "Poll" means the survey of a person regarding the person's opinion or knowledge of an individual who has filed a declaration of candidacy for public office, or of a ballot proposition that has legally qualified for placement on the ballot, which is conducted in person or by telephone, facsimile, Internet, postal mail, or email.

(b) "Poll" does not include:

(i) a ballot; or

(ii) an interview of a focus group that is conducted, in person, by one individual, if:

(A) the focus group consists of more than three, and less than thirteen, individuals; and

(B) all individuals in the focus group are present during the interview.

(42) "Primary election" means any regular primary election held under the election laws.

(43) "Publicly identified class of individuals" means a group of 50 or more individuals sharing a common occupation, interest, or association that contribute to a political action committee or political issues committee and whose names can be obtained by contacting the political action committee or political issues committee upon whose financial statement the individuals are listed.

(44) "Public office" means the office of governor, lieutenant governor, state auditor, state treasurer, attorney general, state school board member, state senator, state representative, speaker of the House of Representatives, president of the Senate, and the leader, whip, and assistant whip of any party caucus in either house of the Legislature.

(45) (a) "Public service assistance" means the following when given or provided to an officeholder to defray the costs of functioning in a public office or aid the officeholder to communicate with the officeholder's constituents:

(i) a gift, subscription, donation, unpaid or partially unpaid loan, advance, or deposit of money or anything of value to an officeholder; or

(ii) goods or services provided at less than fair market value to or for the benefit of the officeholder.

(b) "Public service assistance" does not include:

(i) anything provided by the state;

(ii) services provided without compensation by individuals volunteering a portion or all of their time on behalf of an officeholder;

(iii) money lent to an officeholder by a financial institution in the ordinary course of business;

(iv) news coverage or any publication by the news media; or

(v) any article, story, or other coverage as part of any regular publication of any organization unless substantially all the publication is devoted to information about the officeholder.

(46) "Receipts" means contributions and public service assistance.

(47) "Registered lobbyist" means a person registered under [Title 36, Chapter 11, Lobbyist Disclosure and Regulation Act](#).

(48) "Registered political action committee" means any political action committee that is required by this chapter to file a statement of organization with the Office of the Lieutenant Governor.

(49) "Registered political issues committee" means any political issues committee that is required by this chapter to file a statement of organization with the Office of the Lieutenant Governor.

(50) "Registered political party" means an organization of voters that:

(a) participated in the last regular general election and polled a total vote equal to 2% or more of the total votes cast for all candidates for the United States House of Representatives for any of its candidates for any office; or

(b) has complied with the petition and organizing procedures of [Chapter 8, Political Party Formation and Procedures](#).

(51) (a) "Remuneration" means a payment:

(i) made to a legislator for the period the Legislature is in session; and

(ii) that is approximately equivalent to an amount a legislator would have earned during the period the Legislature is in session in the legislator's ordinary course of business.

(b) "Remuneration" does not mean anything of economic value given to a legislator by:

(i) the legislator's primary employer in the ordinary course of business; or

(ii) a person or entity in the ordinary course of business:

(A) because of the legislator's ownership interest in the entity; or

(B) for services rendered by the legislator on behalf of the person or entity.

(52) "Reporting entity" means a candidate, a candidate's personal campaign committee, a judge, a judge's personal campaign committee, an officeholder, a party committee, a political action committee, a political issues committee, a corporation, or a labor organization, as defined in Section [20A-11-1501](#).

(53) "School board office" means the office of state school board.

(54) (a) "Source" means the person or entity that is the legal owner of the tangible or intangible asset that comprises the contribution.

(b) "Source" means, for political action committees and corporations, the political action committee and the corporation as entities, not the contributors to the political action committee or the owners or shareholders of the corporation.

(55) "State office" means the offices of governor, lieutenant governor, attorney general, state auditor, and state treasurer.

(56) "State office candidate" means a person who:

(a) files a declaration of candidacy for a state office; or

(b) receives contributions, makes expenditures, or gives consent for any other person to receive contributions or make expenditures to bring about the person's nomination, election, or appointment to a state office.

(57) "Summary report" means the year end report containing the summary of a reporting entity's contributions and expenditures.

(58) "Supervisory board" means the individual or group of individuals that allocate expenditures from a political issues committee.

20A-11-101.3. Detailed listing -- Rulemaking authority

The director of elections, within the Lieutenant Governor's Office, may make rules, in accordance with [Title 63G, Chapter 3, Utah Administrative Rulemaking Act](#), in relation to the form, type, and level of detail required in a detailed listing or a financial disclosure form.

20A-11-101.5. Disclosure of actual source or recipient required

(1) As used in this section, "transactional intermediary" means a person, including a credit card company, a financial institution, or a money transfer service, that pays or transfers money to a person on behalf of another person.

(2) When, under this chapter, a person makes a detailed listing, discloses or reports the source of a contribution, discloses or reports the person or entity to whom a disbursement is made, or discloses or reports the identity of a donor, the person:

(a) shall reveal the actual source of the contribution, the actual person or entity to whom the disbursement is ultimately made, or the actual identity of the donor; and

(b) may not merely list, disclose, or report the transactional intermediary.

20A-11-103. Notice of pending interim and summary reports -- Form of submission -- Public availability -- Notice of reporting and filing requirements

(1) (a) Except as provided under Subsection [\(1\)\(b\)](#), 10 days before an interim report or summary report is due under this chapter or [Chapter 12, Part 2, Judicial Retention Elections](#), the chief election officer shall inform the filing entity by electronic mail unless postal mail is requested:

(i) that the financial statement is due;

(ii) of the date that the financial statement is due; and

(iii) of the penalty for failing to file the financial statement.

(b) The chief election officer is not required to provide notice:

(i) to a candidate or political party of the financial statement that is due before the candidate's or political party's political convention;

(ii) of a financial statement due in connection with a public hearing for an initiative under the requirements of Section [20A-7-204.1](#); or

(iii) to a corporation or labor organization, as defined in Section [20A-11-1501](#).

(2) A filing entity shall electronically file a financial statement via electronic mail or the Internet according to specifications established by the chief election officer.

(3) (a) A financial statement is considered timely filed if it is received by the chief election officer's office before the close of regular office hours on the date that it is due.

(b) A chief election officer may extend the time in which a filing entity is required to file a financial statement if a filing entity notifies the chief election officer of the existence of an extenuating circumstance that is outside the control of the filing entity.

(4) Notwithstanding any provision of [Title 63G, Chapter 2, Government Records Access and Management Act](#), the lieutenant governor shall:

(a) make each campaign finance statement filed by a candidate available for public inspection and copying no later than one business day after the statement is filed; and

(b) post an electronic copy or the contents of each financial statement in a searchable format on a website established by the lieutenant governor:

(i) for campaign finance statements submitted to the lieutenant governor under the requirements of Section [10-3-208](#) or Section [17-16-6.5](#), no later than seven business days after the date of receipt of the campaign finance statement; or

(ii) for a summary report or interim report filed under the requirements of this chapter or [Chapter 12, Part 2, Judicial Retention Elections](#), no later than three business days after the date the summary report or interim report is electronically filed.

(5) If a municipality, under Section [10-3-208](#), or a county, under Section [17-16-6.5](#), elects to provide campaign finance disclosure on its own website, rather than through the lieutenant governor, the website established by the lieutenant governor shall contain a link or other access point to the municipality or county website.

(6) Between January 1 and January 15 of each year, the chief election officer shall provide notice, by postal mail or email, to each filing entity for which the chief election officer has a physical or email address, of the reporting and filing requirements described in this chapter.

20A-11-104. Personal use expenditure -- Authorized and prohibited uses of campaign funds -- Enforcement -- Penalties

(1) (a) As used in this chapter, "personal use expenditure" means an expenditure that:

(i) (A) is not excluded from the definition of personal use expenditure by Subsection [\(2\)](#); and

(B) primarily furthers a personal interest of a candidate or officeholder or a candidate's or officeholder's family, which interest is not connected with the performance of an activity as a candidate or an activity or duty of an officeholder; or

(ii) would cause the candidate or officeholder to recognize the expenditure as taxable income under federal law.

(b) "Personal use expenditure" includes:

(i) a mortgage, rent, utility, or vehicle payment;

(ii) a household food item or supply;

(iii) clothing, except for clothing:

(A) bearing the candidate's name or campaign slogan or logo; and

(B) used in the candidate's campaign;

(iv) an admission to a sporting, artistic, or recreational event or other form of entertainment;

(v) dues, fees, or gratuities at a country club, health club, or recreational facility;

(vi) a salary payment made to:

(A) a candidate or officeholder; or

(B) a person who has not provided a bona fide service to a candidate or officeholder;

(vii) a vacation;

(viii) a vehicle expense;

(ix) a meal expense;

(x) a travel expense;

(xi) a payment of an administrative, civil, or criminal penalty;

(xii) a satisfaction of a personal debt;

(xiii) a personal service, including the service of an attorney, accountant, physician, or other professional person;

(xiv) a membership fee for a professional or service organization; and

(xv) a payment in excess of the fair market value of the item or service purchased.

(2) As used in this chapter, "personal use expenditure" does not mean an expenditure made:

(a) for a political purpose;

(b) for candidacy for public office;

- (c) to fulfill a duty or activity of an officeholder;
- (d) for a donation to a registered political party;
- (e) for a contribution to another candidate's campaign account, including sponsorship of or attendance at an event, the primary purpose of which is to solicit a contribution for another candidate's campaign account;
- (f) to return all or a portion of a contribution to a contributor;
- (g) for the following items, if made in connection with the candidacy for public office or an activity or duty of an officeholder:
 - (i) (A) a mileage allowance at the rate established by the Division of Finance under Section [63A-3-107](#); or
 - (B) for motor fuel or special fuel, as defined in Section [59-13-102](#);
 - (ii) a meal expense;
 - (iii) a travel expense, including an expense incurred for airfare or a rental vehicle;
 - (iv) a payment for a service provided by an attorney or accountant;
 - (v) a tuition payment or registration fee for participation in a meeting or conference;
 - (vi) a gift;
 - (vii) a payment for the following items in connection with an office space:
 - (A) rent;
 - (B) utilities;
 - (C) a supply; or
 - (D) furnishing;
 - (viii) a booth at a meeting or event; or
 - (ix) educational material;
 - (h) to purchase or mail informational material, a survey, or a greeting card;
 - (i) for a donation to a charitable organization, as defined by Section [13-22-2](#), including admission to or sponsorship of an event, the primary purpose of which is charitable solicitation, as defined in Section [13-22-2](#);
 - (j) to repay a loan a candidate makes from the candidate's personal account to the candidate's campaign account;
 - (k) to pay membership dues to a national organization whose primary purpose is to address general public policy;
 - (l) for admission to or sponsorship of an event, the primary purpose of which is to promote the social, educational, or economic well-being of the state or the candidate's or officeholder's community; or
 - (m) for one or more guests of an officeholder or candidate to attend an event, meeting, or conference described in this Subsection [\(2\)](#).
- (3) (a) The lieutenant governor shall enforce this chapter prohibiting a personal use expenditure by:
 - (i) evaluating a financial statement to identify a personal use expenditure; and
 - (ii) commencing an informal adjudicative proceeding in accordance with [Title 63G, Chapter 4, Administrative Procedures Act](#), if the lieutenant governor has probable cause to believe a candidate or officeholder has made a personal use expenditure.
- (b) Following the proceeding, the lieutenant governor may issue a signed order requiring a candidate or officeholder who has made a personal use expenditure to:
 - (i) remit an administrative penalty of an amount equal to 50% of the personal use expenditure to the lieutenant governor; and
 - (ii) deposit the amount of the personal use expenditure in the campaign account from which the personal use expenditure was disbursed.
- (c) The lieutenant governor shall deposit money received under Subsection [\(3\)\(b\)\(i\)](#) in the General Fund.

20A-11-105. Deadline for payment of fine

A person against whom the lieutenant governor imposes a fine under this chapter shall pay the fine within 30 days after the day on which the lieutenant governor imposes the fine.

20A-11-1005. Fines for failing to file a financial statement

- (1) Except as provided in Subsections [20A-11-512\(1\)\(b\)](#) and [\(4\)](#), the chief election officer shall fine a filing entity \$100 for failing to file a financial statement by the filing deadline.
- (2) If a filing entity is unable to pay the fine or files an affidavit of impecuniosity in a manner similar to Subsection [20A-9-201\(5\)\(d\)](#), the chief election officer shall impose the fine against the candidate or treasurer, as appropriate.
- (3) The chief election officer shall deposit fines collected under this chapter in the General Fund.

Campaign Finance Statutes: State School Board Candidates

20A-11-1301. School board office candidate -- Campaign finance requirements -- Candidate as a political action committee officer -- No personal use -- Contribution reporting deadline -- Report other accounts -- Anonymous contributions

- (1) (a) (i) Each school board office candidate shall deposit each contribution and public service assistance received in one or more separate accounts in a financial institution that are dedicated only to that purpose.
- (ii) A school board office candidate may:
- (A) receive a contribution or public service assistance from a political action committee registered under Section [20A-11-601](#); and
- (B) be designated by a political action committee as an officer who has primary decision-making authority as described in Section [20A-11-601](#).
- (b) A school board office candidate may not use money deposited in an account described in Subsection [\(1\)\(a\)\(i\)](#) for:
- (i) a personal use expenditure; or
- (ii) an expenditure prohibited by law.
- (2) A school board office candidate may not deposit or mingle any contributions or public service assistance received into a personal or business account.
- (3) A school board office candidate may not make any political expenditures prohibited by law.
- (4) If a person who is no longer a school board candidate chooses not to expend the money remaining in a campaign account, the person shall continue to file the year-end summary report required by Section [20A-11-1302](#) until the statement of dissolution and final summary report required by Section [20A-11-1304](#) are filed with the lieutenant governor.
- (5) (a) Except as provided in Subsection [\(5\)\(b\)](#) and Section [20A-11-402](#), a person who is no longer a school board candidate may not expend or transfer the money in a campaign account in a manner that would cause the former school board candidate to recognize the money as taxable income under federal tax law.
- (b) A person who is no longer a school board candidate may transfer the money in a campaign account in a manner that would cause the former school board candidate to recognize the money as taxable income under federal tax law if the transfer is made to a campaign account for federal office.
- (6) (a) As used in this Subsection [\(6\)](#) and Section [20A-11-1303](#), "received" means:
- (i) for a cash contribution, that the cash is given to a school board office candidate or a member of the candidate's personal campaign committee;
- (ii) for a contribution that is a negotiable instrument or check, that the negotiable instrument or check is negotiated; and
- (iii) for any other type of contribution, that any portion of the contribution's benefit inures to the school board office candidate.
- (b) Each school board office candidate shall report to the chief election officer each contribution and public service assistance received by the school board office candidate:
- (i) except as provided in Subsection [\(6\)\(b\)\(ii\)](#), within 30 days after the day on which the contribution or public service assistance is received; or
- (ii) within three business days after the day on which the contribution or public service assistance is received, if:
- (A) the school board office candidate is contested in a primary election and the contribution or public service assistance is received within 30 days before the day on which the primary election is held; or
- (B) the school board office candidate is contested in a general election and the contribution or public service assistance is received within 30 days before the day on which the general election is held.
- (c) For each contribution or provision of public service assistance that a school board office candidate fails to report within the time period described in Subsection [\(6\)\(b\)](#), the chief election officer shall impose a fine against the school board office candidate in an amount equal to:
- (i) (A) 10% of the amount of the contribution, if the school board office candidate reports the contribution within 60 days after the day on which the time period described in Subsection [\(6\)\(b\)](#) ends; or
- (B) 20% of the amount of the contribution, if the school board office candidate fails to report the contribution within 60 days after the day on which the time period described in Subsection [\(6\)\(b\)](#) ends; or
- (ii) (A) 10% of the value of the public service assistance, if the school board office candidate reports the public service assistance within 60 days after the day on which the time period described in Subsection [\(6\)\(b\)](#) ends; or
- (B) 20% of the amount of the public service assistance, if the school board office candidate fails to report the public service assistance within 60 days after the day on which the time period described in Subsection [\(6\)\(b\)](#) ends.
- (d) The chief election officer shall:
- (i) deposit money received under Subsection [\(6\)\(c\)](#) into the General Fund; and
- (ii) report on the chief election officer's website, in the location where reports relating to each school board office candidate are available for public access:
- (A) each fine imposed by the chief election officer against the school board office candidate;
- (B) the amount of the fine;
- (C) the amount of the contribution to which the fine relates; and
- (D) the date of the contribution.

- (7) Within 30 days after receiving a contribution that is cash or a negotiable instrument, exceeds \$50, and is from an unknown source, a school board office candidate shall disburse the contribution to:
- (a) the treasurer of the state or a political subdivision for deposit into the state's or political subdivision's general fund; or
 - (b) an organization that is exempt from federal income taxation under Section 501(c)(3), Internal Revenue Code.
- (8) (a) As used in this Subsection (8), "account" means an account in a financial institution:
- (i) that is not described in Subsection (1)(a)(i); and
 - (ii) into which or from which a person who, as a candidate for an office, other than a school board office for which the person files a declaration of candidacy or federal office, or as a holder of an office, other than a school board office for which the person files a declaration of candidacy or federal office, deposits a contribution or makes an expenditure.
- (b) A school board office candidate shall include on any financial statement filed in accordance with this part:
- (i) a contribution deposited in an account:
 - (A) since the last campaign finance statement was filed; or
 - (B) that has not been reported under a statute or ordinance that governs the account; or
 - (ii) an expenditure made from an account:
 - (A) since the last campaign finance statement was filed; or
 - (B) that has not been reported under a statute or ordinance that governs the account.

20A-11-1302. School board office candidate -- Financial reporting requirements -- Year-end summary report

- (1) (a) Each school board office candidate shall file a summary report by January 10 of the year after the regular general election year.
- (b) In addition to the requirements of Subsection (1)(a), a former school board office candidate that has not filed the statement of dissolution and final summary report required under Section [20A-11-1304](#) shall continue to file a summary report on January 10 of each year.
- (2) (a) Each summary report shall include the following information as of December 31 of the previous year:
- (i) the net balance of the last financial statement, if any;
 - (ii) a single figure equal to the total amount of receipts reported on all interim reports, if any, during the previous year;
 - (iii) a single figure equal to the total amount of expenditures reported on all interim reports, if any, filed during the previous year;
 - (iv) a detailed listing of each receipt, contribution, and public service assistance since the last summary report that has not been reported in detail on an interim report;
 - (v) for each nonmonetary contribution:
 - (A) the fair market value of the contribution with that information provided by the contributor; and
 - (B) a specific description of the contribution;
 - (vi) a detailed listing of each expenditure made since the last summary report that has not been reported in detail on an interim report;
 - (vii) for each nonmonetary expenditure, the fair market value of the expenditure;
 - (viii) a net balance for the year consisting of the net balance from the last summary report, if any, plus all receipts minus all expenditures; and
 - (ix) the name of a political action committee for which the school board office candidate is designated as an officer who has primary decision-making authority under Section [20A-11-601](#).
- (b) (i) For all individual contributions or public service assistance of \$50 or less, a single aggregate figure may be reported without separate detailed listings.
- (ii) Two or more contributions from the same source that have an aggregate total of more than \$50 may not be reported in the aggregate, but shall be reported separately.
- (c) In preparing the report, all receipts and expenditures shall be reported as of December 31 of the previous year.
- (d) A check or negotiable instrument received by a school board office candidate on or before December 31 of the previous year shall be included in the summary report.
- (3) The school board office candidate shall certify in the summary report that, to the best of the school board office candidate's knowledge, all receipts and all expenditures have been reported as of December 31 of the previous year and that there are no bills or obligations outstanding and unpaid except as set forth in that report.

20A-11-1303. School board office candidate and school board officeholder -- Financial reporting requirements -- Interim reports

- (1) (a) As used in this Subsection (1), "campaign account" means a separate campaign account required under Subsection [20A-11-1301\(1\)\(a\)\(i\)](#).
- (b) Each school board office candidate shall file an interim report at the following times in any year in which the candidate has filed a declaration of candidacy for a public office:
- (i) May 15;
 - (ii) seven days before the regular primary election date;
 - (iii) September 30; and
 - (iv) seven days before the regular general election date.

(c) Each school board office holder who has a campaign account that has not been dissolved under Section [20A-11-1304](#) shall, in an even year, file an interim report at the following times, regardless of whether an election for the school board office holder's office is held that year:

- (i) May 15;
 - (ii) seven days before the regular primary election date for that year;
 - (iii) September 30; and
 - (iv) seven days before the regular general election date.
- (2) Each interim report shall include the following information:
- (a) the net balance of the last summary report, if any;
 - (b) a single figure equal to the total amount of receipts reported on all prior interim reports, if any, during the calendar year in which the interim report is due;
 - (c) a single figure equal to the total amount of expenditures reported on all prior interim reports, if any, filed during the calendar year in which the interim report is due;
 - (d) a detailed listing of each contribution and public service assistance received since the last summary report that has not been reported in detail on a prior interim report;
 - (e) for each nonmonetary contribution:
 - (i) the fair market value of the contribution with that information provided by the contributor; and
 - (ii) a specific description of the contribution;
 - (f) a detailed listing of each expenditure made since the last summary report that has not been reported in detail on a prior interim report;
 - (g) for each nonmonetary expenditure, the fair market value of the expenditure;
 - (h) a net balance for the year consisting of the net balance from the last summary report, if any, plus all receipts since the last summary report minus all expenditures since the last summary report;
 - (i) a summary page in the form required by the lieutenant governor that identifies:
 - (i) beginning balance;
 - (ii) total contributions during the period since the last statement;
 - (iii) total contributions to date;
 - (iv) total expenditures during the period since the last statement; and
 - (v) total expenditures to date; and
 - (j) the name of a political action committee for which the school board office candidate or school board office holder is designated as an officer who has primary decision-making authority under Section [20A-11-601](#).
- (3) (a) For all individual contributions or public service assistance of \$50 or less, a single aggregate figure may be reported without separate detailed listings.
- (b) Two or more contributions from the same source that have an aggregate total of more than \$50 may not be reported in the aggregate, but shall be reported separately.
- (4) (a) In preparing each interim report, all receipts and expenditures shall be reported as of five days before the required filing date of the report.
- (b) Any negotiable instrument or check received by a school board office candidate or school board office holder more than five days before the required filing date of a report required by this section shall be included in the interim report.

20A-11-1304. School board office candidate -- Financial reporting requirements -- Termination of duty to report

- (1) Each school board candidate is subject to interim reporting requirements until the candidate withdraws or is eliminated in a primary.
- (2) Each school board office candidate is subject to year-end summary reporting requirements until the candidate has filed a statement of dissolution with the lieutenant governor stating that:
 - (a) the school board office candidate is no longer receiving contributions and is no longer making expenditures;
 - (b) the ending balance on the last summary report filed is zero and the balance in the separate bank account required in Section [20A-11-1301](#) is zero; and
 - (c) a final summary report in the form required by Section [20A-11-1302](#) showing a zero balance is attached to the statement of dissolution.
- (3) A statement of dissolution and a final summary report may be filed at any time.
- (4) Each school board office candidate shall continue to file the year-end summary report required by Section [20A-11-1302](#) until the statement of dissolution and final summary report required by this section are filed.

20A-11-1305. School board office candidate -- Failure to file statement -- Penalties

- (1) (a) A school board office candidate who fails to file a financial statement by the deadline is subject to a fine imposed in accordance with Section [20A-11-1005](#).
- (b) If a school board office candidate fails to file an interim report described in Subsections [20A-11-1303\(1\)\(b\)\(ii\)](#) through [\(iv\)](#), the chief election officer shall, after making a reasonable attempt to discover if the report was timely filed, inform the county clerk and other appropriate election officials who:
 - (i) (A) shall, if practicable, remove the name of the candidate from the ballots before the ballots are delivered to voters; or

- (B) shall, if removing the candidate's name from the ballot is not practicable, inform the voters by any practicable method that the candidate has been disqualified and that votes cast for the candidate will not be counted; and
- (ii) may not count any votes for that candidate.
- (c) Any school board office candidate who fails to file timely a financial statement required by Subsection [20A-11-1303\(1\)\(b\)\(ii\)](#), [\(iii\)](#), or [\(iv\)](#) is disqualified.
- (d) Notwithstanding Subsections [\(1\)\(b\)](#) and [\(1\)\(c\)](#), a school board office candidate is not disqualified and the chief election officer may not impose a fine if:
- (i) the candidate timely files the reports required by this section in accordance with Section [20A-11-103](#);
- (ii) those reports are completed, detailing accurately and completely the information required by this part except for inadvertent omissions or insignificant errors or inaccuracies; and
- (iii) those omissions, errors, or inaccuracies described in Subsection [\(1\)\(d\)\(ii\)](#) are corrected in:
- (A) an amended report; or
- (B) the next scheduled report.
- (2) (a) Within 30 days after a deadline for the filing of a summary report by a school board office candidate, the lieutenant governor shall review each filed summary report to ensure that:
- (i) each school board candidate that is required to file a summary report has filed one; and
- (ii) each summary report contains the information required by this part.
- (b) If it appears that a school board candidate has failed to file the summary report required by law, if it appears that a filed summary report does not conform to the law, or if the lieutenant governor has received a written complaint alleging a violation of the law or the falsity of any summary report, the lieutenant governor shall, within five days of discovery of a violation or receipt of a written complaint, notify the school board candidate of the violation or written complaint and direct the school board candidate to file a summary report correcting the problem.
- (c) (i) It is unlawful for a school board candidate to fail to file or amend a summary report within seven days after receiving notice from the lieutenant governor under this section.
- (ii) Each school board candidate who violates Subsection [\(2\)\(c\)\(i\)](#) is guilty of a class B misdemeanor.
- (iii) The lieutenant governor shall report all violations of Subsection [\(2\)\(c\)\(i\)](#) to the attorney general.
- (iv) In addition to the criminal penalty described in Subsection [\(2\)\(c\)\(ii\)](#), the lieutenant governor shall impose a civil fine of \$100 against a school board candidate who violates Subsection [\(2\)\(c\)\(i\)](#).